PATENT AND TRADEMARK OFFICE

MAR 23 2007

In re the Application of:

KAWABATA, Akio, et al.

Group Art Unit: 1754

Serial No.: 10/773,311

**Examiner: James Fiorito** 

Filed: February 9, 2004

P.T.O. Confirmation No.: 6643

FOR: METHOD FOR GROWING CARBON NANOTUBES, AND ELECTRONIC DEVICE HAVING STRUCTURE OF OHMIC CONNECTION TO CARBON ELEMENT CYLINDRICAL STRUCTURE BODY AND PRODUCTION

METHOD THEREOF

# INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR 1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

March 23, 2007

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

Documents AC and AH through AM were cited in a Japanese Office Action dated February 19, 2007. A copy of the Office Action is also attached.

No fee is required in connection with this Information Disclosure Statement, since it is being submitted prior to the issuance of a first official action on the merits or expiration of the three month period following the filing date or the entry of the national stage of the above-captioned application.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. It is



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respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge our Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS, HANSON & BROOKS, LLP

Donald W. Hanson Attorney for Applicants Reg. No. 27,133

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Enclosures: PTO1449, Office Action; 10 references

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#### INFORMATION DISCLOSURE **CITATION** PTO-1449

Atty. Docket No. 040047

Serial No.: 10/773,311

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Applicant(s): Akio Kawabota et al

Confirmation No. 6643

Filing Date: February 9, 2004

Group Art Unit: 1754

# U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Name	Date	Class	Sub class	Filing Date (If appropriate)
	AA						
	AB						

### **FOREIGN PATENT DOCUMENTS**

		Document No.	Date	Country	Translation (Yes or No)
	AC	2004-238258	8/26/04	JP	yes, abstract
<u></u>	AD	2002-110567	4/12/02	JP	yes, abstract
	AE	2002-212729	7/31/02	JP	yes, abstract
	AF	2001-358083	12/26/01	JP	yes, abstract
	AG				

#### **OTHER DOCUMENTS**

Examiner		Date Considered
	AN	Japanese Office Action dated February 19, 2007.
	AM	Li et al; "Growth of Single-Walled Carbon Nanotubes from Discrete Catalytic Nanoparticles of Various Sizes;" J. Phys. Chem. B, 105 (2001) pp. 11424-11431.
	AL	Cheung et al; "Diameter-Controlled Synthesis of Carbon Nanotubes;" J. Phys. Chem. B 106 (2002) pp2429-2433.
	AK	Lee et al; "Effects of metal buffer layers on the hot filament chemical vapor deposition of nanostructured carbon films;" J. Vac. Sci. Technol. B21(1) (Jan/Feb 2003) pp623-626.
	AJ	Chen et al; "Hot Filament for In Situ Catalyst Supply in the Chemical Vapor Deposition Growth of Carbon Nanotubes;" Jpn. J. Appl. Phys. Vol 41 (2002) pp. L67-L69.
	Al	Bonnot et al; "Carbon nanostructures and diamond growth by HFCVD: role of the substrate preparation and synthesis conditions;" Diamond and Related Materials 8 (1999); pp 631-35.
	АН	Choi et al; "Variations in structure and emission characteristics of nanostructured carbon films prepared by the hot-filament chemical-vapor-deposition method due to the addition of ammonia in the source;" J. Vac. Sci. Technol. B 21(1) (Jan/Feb 2003) pp576-80.

Examiner

Date Considered